

P25117.P01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

13281 US PTO

Attorney Docket No.	P25117	Total Pages
Inventor(s) or Application Identifier		
Eiju KOMURO, Hisatoshi SAITOU, Takao NOGUCHI and Masaaki IMURA		
Title: METHOD OF MANUFACTURING A PIEZOELECTRIC THIN FILM RESONATOR, MANUFACTURING APPARATUS FOR A PIEZOELECTRIC THIN FILM RESONATOR, PIEZOELECTRIC THIN FILM RESONATOR, AND ELECTRONIC COMPONENT		

ADDRESS TO:

Commissioner for Patents
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Alexandria, VA 22313-1450

U.S. PTO
10/8/18 12:22:57

033004

APPLICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)		9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	a. <input type="checkbox"/> Small Entity Statement(s)	a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies
3. <input checked="" type="checkbox"/> Specification (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	[Total Pages 31]	10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113)	[Total Sheets 10]	11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)
5. <input checked="" type="checkbox"/> Oath or Declaration	[Total Pages 4]	12. <input type="checkbox"/> English Translation Document (if applicable)
a. <input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted		13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) [Note Box 6 below]		14. <input checked="" type="checkbox"/> Preliminary Amendment
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		16. <input checked="" type="checkbox"/> Figure of Drawing to be published 4
7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		17. <input checked="" type="checkbox"/> Foreign priority claimed a. <input checked="" type="checkbox"/> Claim of Priority b. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)
8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)		18. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b) (2) (B) (i). Applicant must attach form PTO/SB/35 or its equivalent.
		19. <input checked="" type="checkbox"/> Assignee: TDK Corporation of Tokyo, Japan
		20. <input type="checkbox"/> Other: _____ _____ _____ _____

21. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 C.F.R. 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior Application No. _____ filed _____
Prior application information: Examiner: _____ Group Art Unit: _____

Address all future correspondence to Customer No. 7055 at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.
1950 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

3/30/04
Date

Leslie H. Bernstein Reg. No. 33,329
Signature
Bruce H. Bernstein, Reg. No. 29,027
Typed or Printed Name

FEE TRANSMITTAL

Complete if Known	
Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	Eiju KOMURO et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned

TOTAL AMOUNT OF PAYMENT	(\$810.00)	Attorney Docket Number	P25117
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METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																																																																																																																					
<p>1. <input checked="" type="checkbox"/> The U.S Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <u>19-0089</u></p> <p>Deposit Account Name <u>GREENBLUM & BERNSTEIN, P.L.C.</u></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3)) <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) <input type="checkbox"/> Applicant Claims Small Entity Status See 37 CFR 1.27.</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Prior to Examiner action</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Requesting publication of SIR after</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Examiner action</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Extension for response within 1st month</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Extension for response within 2nd month</td><td></td></tr> <tr><td>1252</td><td>420</td><td>2252</td><td>210</td><td>Extension for response within 3rd month</td><td></td></tr> <tr><td>1253</td><td>950</td><td>2253</td><td>475</td><td>Extension for response within 4th month</td><td></td></tr> <tr><td>1254</td><td>1,480</td><td>2254</td><td>740</td><td>Extension for response within 5th month</td><td></td></tr> <tr><td>1255</td><td>2,010</td><td>2255</td><td>1,005</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1401</td><td>330</td><td>2401</td><td>165</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1402</td><td>330</td><td>2402</td><td>165</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1403</td><td>290</td><td>2403</td><td>145</td><td>Petition to institute a public use</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td>proceeding</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td>Petition to revive unavoidably abandoned</td><td></td></tr> <tr><td>1453</td><td>1,330</td><td>2453</td><td>665</td><td>application</td><td></td></tr> <tr><td>1501</td><td>1,330</td><td>2501</td><td>665</td><td>Petition to revive unintentionally</td><td></td></tr> <tr><td>1502</td><td>480</td><td>2502</td><td>240</td><td>abandoned application</td><td></td></tr> <tr><td>1503</td><td>640</td><td>2503</td><td>320</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td>Design issue fee</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Plant issue fee</td><td></td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Petitions related to provisional</td><td></td></tr> <tr><td>1809</td><td>770</td><td>2809</td><td>385</td><td>applications</td><td></td></tr> <tr><td>1810</td><td>770</td><td>2810</td><td>385</td><td>Submission of IDS</td><td></td></tr> <tr><td>1801</td><td>770</td><td>2801</td><td>385</td><td>Recording each patent assignment per</td><td></td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td>property (times number of properties)</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Filing a submission after final rejection</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>(37 CFR 1.129(a))</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>For each additional invention to be</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Request for Continued Examination</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>(RCE)</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Request for expedited examination</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>of a design application</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Other fee (specify) _____</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Other fee (specify) _____</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>SUBTOTAL (3) (\$ 40.00)</td><td></td></tr> </tbody> </table> <p>*Reduced by Basic Filing Fee paid</p>				Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Entity Fee Code (\$)	Fee Description	Fee Paid	1051	130	2051	65	Surcharge - late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for reexamination		1804	920*	1804	920*	Requesting publication of SIR						Prior to Examiner action						Requesting publication of SIR after						Examiner action		1805	1,840*	1805	1,840*	Extension for response within 1st month		1251	110	2251	55	Extension for response within 2nd month		1252	420	2252	210	Extension for response within 3rd month		1253	950	2253	475	Extension for response within 4th month		1254	1,480	2254	740	Extension for response within 5th month		1255	2,010	2255	1,005	Notice of Appeal		1401	330	2401	165	Filing a brief in support of an appeal		1402	330	2402	165	Request for oral hearing		1403	290	2403	145	Petition to institute a public use		1451	1,510	1451	1,510	proceeding		1452	110	2452	55	Petition to revive unavoidably abandoned		1453	1,330	2453	665	application		1501	1,330	2501	665	Petition to revive unintentionally		1502	480	2502	240	abandoned application		1503	640	2503	320	Utility issue fee (or reissue)		1460	130	1460	130	Design issue fee		1807	50	1807	50	Plant issue fee		1806	180	1806	180	Petitions to the Commissioner		8021	40	8021	40	Petitions related to provisional		1809	770	2809	385	applications		1810	770	2810	385	Submission of IDS		1801	770	2801	385	Recording each patent assignment per		1802	900	1802	900	property (times number of properties)						Filing a submission after final rejection						(37 CFR 1.129(a))						For each additional invention to be						examined (37 CFR 1.129(b))						Request for Continued Examination						(RCE)						Request for expedited examination						of a design application						Other fee (specify) _____						Other fee (specify) _____						SUBTOTAL (3) (\$ 40.00)	
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Signature	 <i>Leslie J. Paganer Reg. No.</i> <i>33,329</i>			Date	3/30/04	Deposit Account User ID																																																																																																																																																																																																																																																																			